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001/012

FAX TRANSMISSION

DATE: November 16, 2006

PTO IDENTIFIER: Application Number 10/540,896-Conf. #1816

Patent Number

Inventor: Renato BUGGE et al.

MESSAGE TO: Attn: Donna Green, MS PCT, Commissioner for Patents, US Patent and Trademark Office

FAX NUMBER: (571) 273-3201

FROM: LAHIVE & COCKFIELD, LLP

Anthony A. Laurentano

PHONE: (617) 227-7400

Attorney Dkt. #: BRW-002US

PAGES (Including Cover Sheet): 12

CONTENTS: Request for Corrected Filing Receipt (1 page)
Copy of Filing Receipt with Correction noted (3 pages)
Second Preliminary Amendment (3 pages)
Supplemental Application Data Sheet (3 pages)
Certificate of Transmission (1 page)

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PTO/SB/87 (09-04)
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Application No. (if known): 10/540,896

Attorney Docket No.: BRW-002US

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on November 16, 2006
Date



Signature

Anthony A. Laurentano

Typed or printed name of person signing Certificate

38,220

Registration Number, if applicable

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Telephone Number

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Request for Corrected Filing Receipt (1 page)
Copy of Filing Receipt with Correction noted (3 pages)
Second Preliminary Amendment (3 pages)
Supplemental Application Data Sheet (3 pages)
Certificate of Transmission (1 page)

Docket No.: BRW-002US
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Renato Bugge et al.

Application No.: 10/540,896

Confirmation No.: 1816

Filed: May 16, 2006

Art Unit: 1763

For: ETCHING of AlGaInAsSb

Examiner: Not Yet Assigned

REQUEST FOR CORRECTED FILING RECEIPT

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attn: Donna Green

Dear Sir:


Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, contains an incorrect title.

The Title of the application should be: "**AlGaInAsSb**". Please record the application's title precisely as indicated with the correct sequence of upper and lower case letters. (The number 1 (one) follows the first **A** in the title. In order to effect this change, we enclose a Supplemental Preliminary Amendment and Supplemental Application Data Sheet

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Dated: November 16, 2006

Respectfully submitted,

By 
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Attorney/Agent For Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/540,896	05/16/2006	1763	895	BRW-002US	7	27	3

CONFIRMATION NO. 1816

959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109

FILING RECEIPT

OC000000019617395

Date Mailed: 07/18/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Renato Bugge, Trondheim, NORWAY;
Bjorn-Ove Firmland, Trondheim, NORWAY;

Assignment For Published Patent Application

LEIV EIRIKSSON NYSKAPING AS, Trondheim, NORWAY

Power of Attorney: The patent practitioners associated with Customer Number 959.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/NO03/00429 12/19/2003

Foreign Applications

NORWAY 2002 8261 12/27/2002

If Required, Foreign Filing License Granted: 07/14/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/540,896**

Projected Publication Date: 10/26/2006

Non-Publication Request: No

Early Publication Request: No

RECEIVED	LAHIVE & COCKFIELD
JUL 27 2006	
RECEIVED	FORM 8/03/06

**** SMALL ENTITY ******Title**~~Etching of algalmasst~~ **A1GaInAsSb****Preliminary Class**

216

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Docket No.: BRW-002US
(PATENT)

IN THE UNITED STATES PATENT OFFICE AS DESIGNATED OFFICE (DO/US)

In re Patent Application of:
Renato Bugge *et al.*
International Application No.: PCT/NO2003/000429

International Filing Date: March 16, 2006

Application No.: 10/540,896

Art Unit: 1763

Filed: 16 May 2006

Examiner: Not Yet Assigned

For: ETCHING of AlGaInAsSb

SECOND PRELIMINARY AMENDMENT

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attn: Donna Green

Dear Sir:

INTRODUCTORY COMMENTS

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

Amendments to the Specification begin on page 2 of this paper.

Remarks/Arguments begin on page 3 of this paper.

Application No.: 10/540,896

Docket No.: BRW-002US

AMENDMENTS TO THE SPECIFICATION

Page 1, line 1, please amend the title as follows:

A1GaInAsSb

Application No.: 10/540,896

Docket No.: BRW-002US

REMARKS

Preliminary to examination of this application, please amend the title to this national phase application from the title contained in the parent case, PCT/NO03/00429.


Applicants respectfully submit the foregoing amendment introduce no new matter. Entry of the foregoing Preliminary Amendment is in order and requested.

If there are any questions regarding the proposed amendments to the application, we invite the Examiner to call Applicant's representative at the telephone number below

Applicants believe no fee is due with this statement. However, if a fee is due, please charge our Deposit Account No. 12-0080, under Order No. BRW-002US.

Dated: November 16, 2006

Respectfully submitted,

By 
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Supplemental Application Data Sheet**Application Information**

Application number::	<u>10/540896</u>
Filing Date::	<u>06/27/05</u>
Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	N/A
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	<u>ETCHING of AlGaInAsSb</u>
Attorney Docket Number::	BRW-002US
Request for Early Publication?::	No
Request for Non-Publication?::	No
Small Entity?::	Yes
Petition Included?::	No
Secrecy Order in Parent Appl.?::	No

Applicant Information

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Correspondence Information

Correspondence Customer Number:: 00959

Representative Information

Representative Customer Number:: 00959

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/NO03/00429	12/19/03

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::
Norway	2002 8261	12/27/02	Yes

Assignee Information

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